

**Notice Pursuant to Bankruptcy Rule 3001**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: **Lehman Brothers Holdings Inc.**

Case No.: **08-13555 (JMP)**

Court ID (Court Use Only) \_\_\_\_\_

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<b>Name of Transferees:</b> See Schedule attached hereto listing "Individual Purchaser Transferees"	<b>Name of Transferor:</b> JPMorgan Chase Bank, N.A.
Notices to Transferee should be sent to: c/o Monarch Alternative Capital LP 535 Madison Avenue, Floor 26 New York, NY 10022 ATTN: Michael Gillin E-Mail: Michael.Gillin@monarchlp.com; fundops@monarchlp.com Tel: 1-212-554-1753 Fax: 1-(866)-741-3564	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor JPMorgan Chase Bank, N.A. c/o J.P. Morgan Securities LLC Mail Code: NY1-M138 383 Madison Avenue – Floor 37 New York, New York 10179 ATTN: Jeffrey L. Panzo Jeffrey.L.Panzo@jpmorgan.com Tel: 212-834-5857
<b>Amount of Claim Being Transferred:</b>  100% of ISIN XS027661 9805, EUR 209,000 with an allowed amount of \$249,909.41 per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of <u>JANUARY 31</u> , 2012), together with interest, fees, expenses and other recoveries due	
<b>Court Claim No. (if known):</b> 59233	
<b>Date Claim Filed:</b> Oct 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Individual Purchaser Transferees solely with respect to the portion of the claim that each Individual Purchaser Transferee is purchasing as listed at the Schedule attached hereto

Monarch Debt Recovery Master Fund Ltd, Individual Purchaser Transferee  
By: Monarch Alternative Capital LP  
Its: Advisor

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Date: JANUARY 31, 2012

Monarch Opportunities Master Fund Ltd, Individual Purchaser Transferee

By: Monarch Alternative Capital LP

Its: Advisor

By:

Name:

Title:

Date: January 31, 2012

Monarch Capital Master Partners LP, Individual Purchaser Transferee

By: Monarch Alternative Capital LP

Its: Advisor

By:

Name:

Title:

Date: January 31, 2012

Monarch Cayman Fund Limited, Individual Purchaser Transferee

By: Monarch Alternative Capital LP

Its: Advisor

By:

Name:

Title:

Date: January 31, 2012

Monarch Capital Master Partners II-A LP, Individual Purchaser Transferee

By: Monarch Alternative Capital LP

Its: Advisor

By:

Name:

Title:

Date: January 31, 2012

Monarch Capital Master Partners II LP, Individual Purchaser Transferee

By: Monarch Alternative Capital LP

Its: Advisor

By:

Name:

Title:

Date: January 31, 2012

P Monarch Recovery Ltd, Individual Purchaser Transferee

By: Monarch Alternative Capital LP

Its: Advisor

By:

Name:

Title:

Date: January 31, 2012

Monarch Alternative Solutions Master Fund Ltd, Individual Purchaser Transferee

By: Monarch Alternative Capital LP

Its: Advisor

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Date: January 31, 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571.* As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

Schedule

Individual Purchaser Transferee	Description of Security	ISIN	Proof of Claim	Issuer	Guarantor	Face Amount	Amount of Claim Being Transferred to each Individual Purchaser hereunder
Monarch Debt Recovery Master Fund Ltd	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 110,000	52.63158% of ISIN XS0276619805, EUR 110,000 of EUR 209,000, \$131,531.27 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
Monarch Opportunities Master Fund Ltd	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 42,000	20.09569% of ISIN XS0276619805, EUR 42,000 of EUR 209,000, \$50,221.03 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
Monarch Capital Master Partners LP	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 28,000	13.39713% of ISIN XS0276619805, EUR 28,000 of EUR 209,000, \$33,480.69 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
Monarch Cayman Fund Limited	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 6,000	2.87081% of ISIN XS0276619805, EUR 6,000 of EUR 209,000, \$7,174.42 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
Monarch Capital Master Partners II-A LP	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 12,000	5.74163% of ISIN XS0276619805, EUR 12,000 of EUR 209,000, \$14,348.87 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
Monarch Capital Master Partners II-LP	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 2,000	0.95694% of ISIN XS0276619805, EUR 2,000 of EUR 209,000, \$2,391.48 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
P Monarch Recovery Ltd	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 5,000	2.39234% of ISIN XS0276619805, EUR 5,000 of EUR 209,000, \$5,978.69 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
Monarch Alternative Solutions Master Fund Ltd	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 4,000	1.91388% of ISIN XS0276619805, EUR 4,000 of EUR 209,000, \$4,782.96 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

Individual Purchaser Transferee	Description of Security	ISIN	Proof of Claim	Issuer	Guarantor	Face Amount	Amount of Claim Being Transferred to each Individual Purchaser hereunder
Monarch Debt Recovery Master Fund Ltd	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 110,000	52.63158% of ISIN XS0276619805, EUR 110,000 of EUR 209,000, \$131,531.27 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
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Monarch Capital Master Partners LP	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 28,000	13.39713% of ISIN XS0276619805, EUR 28,000 of EUR 209,000, \$33,480.69 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
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Monarch Capital Master Partners II-A LP	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 12,000	5.74163% of ISIN XS0276619805, EUR 12,000 of EUR 209,000, \$14,348.87 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
Monarch Capital Master Partners II LP	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 2,000	0.935694% of ISIN XS0276619805, EUR 2,000 of EUR 209,000, \$2,391.48 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due
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Monarch Alternative Solutions Master Fund Ltd	Issue of EUR 19,000,000 Index-Linked Notes due November 2011 relating to the Dow Jones Eurostoxx 50 Guaranteed by Lehman Brothers Holdings Inc. under the U.S.\$60,000,000,000 Euro Medium-Term Note Retail Program	XS0276619805	59233	Lehman Brothers Treasury Co. B.V.	Lehman Brothers Holdings Inc.	EUR 4,000	1.91388% of ISIN XS0276619805, EUR 4,000 of EUR 209,000, \$4,782.96 of the \$249,909.41 allowed amount per the Debtors' structured securities valuation notice (the outstanding amount of the Proof of Claim as of January 31, 2012), together with interest, fees, expenses and other recoveries due

JPMORGAN CHASE BANK, N.A. ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

the Individual Purchaser Transferees as listed in the above chart solely with respect to the portion of the claim transferred to such Individual Purchaser Transferee per the above chart

c/o Monarch Alternative Capital LP  
535 Madison Avenue, Floor 26  
New York, NY 10022  
ATTN: Michael Gillin  
E-Mail: Michael.Gillin@monarchlp.com; fundops@monarchlp.com  
Tel: 1-212-554-1753  
Fax: 1-(866)-741-3564

(each a "Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to each applicable Individual Purchaser Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to each applicable Individual Purchaser Transferee and recognizing each applicable Individual Purchaser Transferee as the sole holder of the Transferred Claim solely to the extent of the portion of the claim transferred to such Individual Purchaser Transferee per the above chart.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to each applicable Individual Purchaser Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 10 21, 2012.

JPMorgan Chase Bank, N.A.

Transferor

By:

Name: Peter Schoepe  
Title: Authorized Signatory

ACKNOWLEDGED BY EACH INDIVIDUAL PURCHASER LISTED BELOW solely with respect to the portion of the claim such Individual Purchaser Transferee is purchasing as listed in the above chart.

Monarch Debt Recovery Master Fund Ltd, Individual Purchaser Transferee

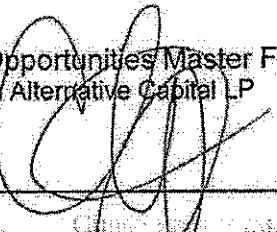
By: Monarch Alternative Capital LP  
Its: Advisor

By:

Name:    
Title:

Monarch Opportunities Master Fund Ltd, Individual Purchaser Transferee

By: Monarch Alternative Capital LP  
Its: Advisor

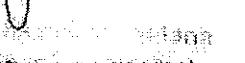
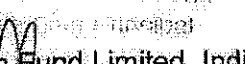
By: 

Name:   
Title: 

Monarch Capital Master Partners LP, Individual Purchaser Transferee

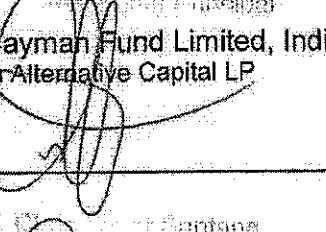
By: Monarch Alternative Capital LP  
Its: Advisor

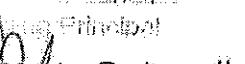
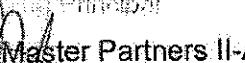
By: 

Name:   
Title: 

Monarch Cayman Fund Limited, Individual Purchaser Transferee

By: Monarch Alternative Capital LP  
Its: Advisor

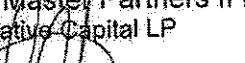
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Monarch Capital Master Partners II-A LP, Individual Purchaser Transferee

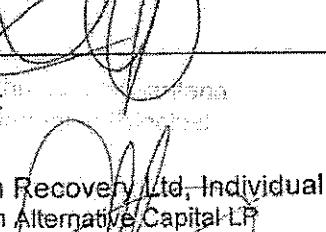
By: Monarch Alternative Capital LP  
Its: Advisor

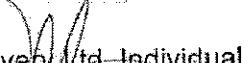
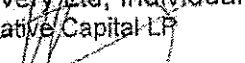
By: 

Name:   
Title: 

Monarch Capital Master Partners II LP, Individual Purchaser Transferee

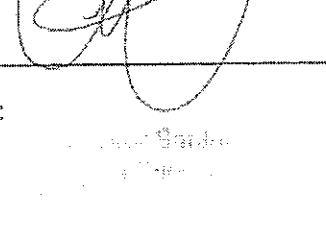
By: Monarch Alternative Capital LP  
Its: Advisor

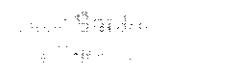
By: 

Name:   
Title: 

P Monarch Recovery Ltd, Individual Purchaser Transferee

By: Monarch Alternative Capital LP  
Its: Advisor

By: 

Name:   
Title: 

Monarch Alternative Solutions Master Fund Ltd, Individual Purchaser Transferee  
By: Monarch Alternative Capital LP  
Its: Advisor

By:

Name:

Title:

Christopher S. Stinson  
Managing Director

<p><b>United States Bankruptcy Court / Southern District Of New York</b>  Lehman Brothers Holdings Claims Processing Center  c/o Epiq Bankruptcy Solutions, LLC  FDR Station, P.O. Box 5076  New York, NY 10150-5076</p>		<p><b>LEHMAN SECURITIES PROGRAMS</b>    <b>STATEMENT OF CLAIM</b></p> <p>Filed: USBC - Southern District of New York  Lehman Brothers Holdings Inc., Et Al.  08-13555 (JMP) 0000059233</p> <p></p>
<p>In Re:  Lehman Brothers Holdings Inc., et al.,  Debtors.</p>	<p>Chapter 11  Case No. 08-13555 (JMP)  (Jointly Administered)</p>	
<p>Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009</p>		
<p>Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)</p> <p>UBS AG  Bahnhofstr. 45  8001 Zurich  Switzerland</p> <p>Notices to be sent to:</p> <p>UBS AG  Attn.: Hugo Koller, OQ9C/O5GC  P.O. Box  8098 Zurich  Switzerland</p>		<p><input checked="" type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.</p> <p><b>Court Claim Number:</b> _____  <i>(if known)</i></p> <p>Filed on: October 28, 2009</p> <p>Amended claims are marked with "Additional" and/or "Amended" in the attached Schedule</p>
<p>Telephone number: +41 44 235 37 36 Email Address: <a href="mailto:hugo.koller@ubs.com">hugo.koller@ubs.com</a></p>		<p><input checked="" type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.</p>
<p>Name and address where payment should be sent (if different from above)</p> <p>UBS AG  Attn.: Hugo Koller, OQ9C/O5GC  P.O. Box  8098 Zurich  Switzerland</p> <p>Telephone number: +41 44 235 37 36 Email Address: <a href="mailto:hugo.koller@ubs.com">hugo.koller@ubs.com</a></p>		
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p>		
<p><b>Amount of Claim: \$ To be determined - See attached Appendix and Schedule.</b></p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p>		
<p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p>		
<p><b>International Securities Identification Number (ISIN): See attached Appendix and Schedule.</b></p>		
<p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e., the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p>		
<p><b>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:</b></p>		
<p><b>See attached Appendix and Schedule.</b></p>		
<p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p>		
<p><b>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:</b></p>		
<p><b>See attached Appendix and Schedule.</b></p>		
<p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>		<p><b>FOR COURT USE ONLY</b></p>

**United States Bankruptcy Court / Southern District Of New York**  
Lehman Brothers Holdings Claims Processing Center  
c/o Epic Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

**LEHMAN SECURITIES PROGRAMS  
PROOF OF CLAIM**

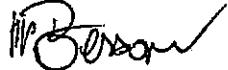
**Date.**  
**29 October 2009**

**Signature:** The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

Hugo Koller, Director



Jean-Claude Besson, Associate Director



*Penalty for presenting fraudulent claim:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

**INSTRUCTIONS FOR PROOF OF CLAIM FORM**

*The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.*

<b>Creditor's Name and Address:</b> Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).		<b>Date and Signature:</b> The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.
<b>DEFINITIONS</b>		<b>INFORMATION</b>
<b>Debtor</b> A debtor is the person, corporation, or other entity that has filed a bankruptcy case. <b>Creditor</b> A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing. <b>Claim</b> A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.	<b>Proof of Claim</b> A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:  <b>Lehman Brothers Holdings Claims Processing</b> c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076  <b>Lehman Programs Security</b> Any security included on the list designated "Lehman Programs Securities" available on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009.	<b>Acknowledgment of Filing of Claim</b> To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system ( <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> ) to view your filed proof of claim. <b>Claims to Purchase a Claim</b> Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 <i>et seq.</i> ), and any applicable orders of the bankruptcy court.

### Appendix

This amended Proof of Claim (this "Claim") is filed by UBS AG, Bahnhofstr. 45, 8001 Zurich, Switzerland ("Claimant") against Lehman Brothers Holdings Inc. ("Debtor").

This Claim amends the Proof of Claim in an amount To Be Determined filed by Claimant on or about October 28, 2009.

This Claim is based on Debtor's issuance or guarantee, as applicable, of the securities listed on the attached Schedule.

The precise amount of this Claim cannot be determined at this time, as it may depend on factors outside Claimant's knowledge and beyond Claimant's control. Accordingly, the amount of this Claim is to be determined, but includes principal, accrued interest, any enhanced returns on principal, and expenses, to the extent permitted by the governing documents and applicable law.

Because these securities are Lehman Program Securities, as defined in the Court's July 2, 2009 order, Claimant is not required to complete a Guarantee Questionnaire or to provide any information other than that provided herein in support of this Claim.

### Reservation of Rights

This Claim is filed under the compulsion of the bar date established in these chapter 11 proceedings and is filed to protect Claimant from forfeiture of claims by reason of said bar date. Claimant reserves its right to amend and/or supplement this Claim for the purposes and to the extent permitted by applicable law.

Claimant reserves all of its rights and defenses, whether under title 11 of the United States Code or other applicable law, as to any claims that may be asserted against Claimant by Debtor, including, without limitation, any rights of setoff and/or recoupment not expressly asserted above. Claimant further reserves all of its rights as against the other debtors in these chapter 11 proceedings.

Claimant further reserves all rights accruing to it, and the filing of this Claim is not and shall not be deemed or construed as (i) a waiver, release, or limitation of Claimant's rights against any person, entity, or property (including, without limitation, Debtor or any other person or entity that is or may become a debtor in a case pending in this Court); (ii) a consent by Claimant to the jurisdiction or venue of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (iii) a waiver, release, or limitation of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the U.S. Constitution; (iv) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (v) a waiver, release, or limitation of Claimant's right to have any and all final orders in any and all non-core matters or proceedings entered only after *de novo* review by a U.S. District Court Judge; (vi) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; (vii) an election of remedies; or (viii) a consent to the final determination or adjudication of any claim or right pursuant to 28 U.S.C. § 157(c).

Schedule

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
ANN521331184	Units	62	CA64761
ANN521331267	Units	251	CA64762
ANN521331424	Units	40	CA64725
ANN521331754	Units	82	CA64726
ANN521332174	Units	550	CA64712
ANN521334238	Units	1'350	CA64715
ANN521334980	Units	150	CA90530
ANN521337611	Units	7	CA64711
ANN521338114	Units	20	CA64713
ANN521338783	Units	76	CA64714
ANN5214A1035	Units	162	CA64716
ANN5214A4757	Units	40	CA64717
ANN5214A6166	Units	11	CA64719
ANN5214A6406	Units	323	CA64721
ANN5214A6737	Units	1'000	CA64720
ANN5214A6810	Units	5'800	CA64727
ANN5214A8303	Units	455	CA64723
ANN5214A8899	Units	51	CA64718
ANN5214R1481	Units	10	CA64724
ANN5214R2547	Units	65	CA64728
ANN5214R3388	Units	385	CA64722
ANN5214R3792	Units	513	CA64730
ANN5214R4030	Units	100	CA64729
ANN5214R5029	Units	900	CA64732
ANN5214R7678	Units	500	CA64737
ANN5214R8254	Units	100	CA64757
ANN5214T3428	Units	150	CA64733
ANN5214T4335	Units	25'000	CA64735
CH0026915527	CHF	380'000	CH100025SPETRANS-1
CH0026985082	CHF	5'460'000	CH100025SPETRANS-63
CH0027120606	CHF	407'000	CH100025SPETRANS-2
CH0027120614	Units	10	CH100025SPETRANS-3
CH0027120622	USD	3'236'000	CH100025SPETRANS-4
CH0027120655	CHF	440'000	CH100025SPETRANS-5
CH0027120663	CHF	74'000	CA64734
CH0027120663	CHF	1'589'000	CH100025SPETRANS-6
CH0027120671	CHF	155'000	CH100025SPETRANS-7
CH0027120689	EUR	207'000	CH100025SPETRANS-8
CH0027120697	EUR	72'000	CH100025SPETRANS-9
CH0027120705	USD	102'000	CA64744
CH0027120705	USD	498'000	CH100025SPETRANS-10
CH0027120713	USD	7'000	CH100025SPETRANS-11
CH0027120747	EUR	74'000	CH100025SPETRANS-12
CH0027120754	EUR	43'000	CH100025SPETRANS-13
CH0027120770	Units	16'861	CH100025SPETRANS-14
CH0027120796	CHF	50'000	CH100025SPETRANS-15

<sup>1</sup> For securities denominated in currency other than U.S. dollars, the amount of the claim, once determined, will be converted to U.S. dollars at the applicable exchange rate.

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER	
CH0027120812	CHF 1'170'000	CA64739	CBL13463	
CH0027120812	CHF 35'000	CH100025SPETRANS-16	SIXSIS20001513	
CH0027120820	EUR 147'000	CA64740	CBL13463	
CH0027120820	EUR 80'000	CH100025SPETRANS-17	SIXSIS20001513	
CH0027120838	CHF 21'000	CH100025SPETRANS-18	SIXSIS20001513	
CH0027120846	EUR 25'000	CH100025SPETRANS-19	SIXSIS20001513	
CH0027120853	Units 3	CH100025SPETRANS-20	SIXSIS20001513	
CH0027120861	CHF 4'871'000	CH100025SPETRANS-21	SIXSIS20001513	
CH0027120879	EUR 3'306'000	CH100025SPETRANS-22	SIXSIS20001513	
CH0027120887	CHF 175'000	CH100025SPETRANS-23	SIXSIS20001513	
CH0027120903	EUR 11'470'000	CH100025SPETRANS-24	SIXSIS20001513	
CH0027120978	EUR 140'000	CH100025SPETRANS-25	SIXSIS20001513	
CH0027120986	CHF 235'000	CH100025SPETRANS-26	SIXSIS20001513	
CH0027120994	EUR 355'000	CH100025SPETRANS-27	SIXSIS20001513	
CH0027121000	EUR 1'541'000	CH100025SPETRANS-28	SIXSIS20001513	
CH0027121034	CHF 325'000	CH100025SPETRANS-29	SIXSIS20001513	
CH0029197156	CHF 465'000	CH100025SPETRANS-30	SIXSIS20001513	Amended
CH0034774536	CHF 16'000	719614392708909	SIXSIS20001513	
CH0034774536	CHF 2'196'000	CH100025SPETRANS-31	SIXSIS20001513	
CH0034783636	CHF 75'000	CH100025SPETRANS-32	SIXSIS20001513	
CH0034783644	CHF 192'000	CH100025SPETRANS-33	SIXSIS20001513	
CH0034783651	EUR 23'000	CH100025SPETRANS-34	SIXSIS20001513	
CH0034783669	EUR 108'000	CH100025SPETRANS-35	SIXSIS20001513	
CH0034783685	USD 91'000	CH100025SPETRANS-36	SIXSIS20001513	
CH0034783693	EUR 105'000	CH100025SPETRANS-37	SIXSIS20001513	
CH0036891080	CHF 80'000	CH100025SPETRANS-38	SIXSIS20001513	
CH0036891148	CHF 325'000	CH100025SPETRANS-39	SIXSIS20001513	
CH0036891189	CHF 25'000	CH100025SPETRANS-40	SIXSIS20001513	
CH0036891197	EUR 20'000	CH100025SPETRANS-41	SIXSIS20001513	
CH0036891221	EUR 1'000'000	CH100025SPETRANS-42	SIXSIS20001513	
CH0036891239	CHF 1'883'000	CH100025SPETRANS-43	SIXSIS20001513	
CH0036891247	EUR 85'000	CH100025SPETRANS-44	SIXSIS20001513	
CH0036891254	EUR 3'755'000	CH100025SPETRANS-45	SIXSIS20001513	
CH0036891262	CHF 35'000	CH100025SPETRANS-46	SIXSIS20001513	
CH0036891379	CHF 15'000	CH100025SPETRANS-47	SIXSIS20001513	
CH0036891387	CHF 20'000	CH100025SPETRANS-48	SIXSIS20001513	
CH0036891395	EUR 4'817'000	CH100025SPETRANS-49	SIXSIS20001513	
CH0036891403	CHF 1'414'000	CH100025SPETRANS-50	SIXSIS20001513	
CH0036891411	CHF 3'664'000	CH100025SPETRANS-51	SIXSIS20001513	
CH0039308652	CHF 7'674'000	CH100025SPETRANS-52	SIXSIS20001513	
CH0039308660	CHF 20'000	CH100025SPETRANS-53	SIXSIS20001513	
CH0039308678	USD 75'000	CH100025SPETRANS-54	SIXSIS20001513	
CH0039308686	USD 20'170'000	CH100025SPETRANS-55	SIXSIS20001513	
CH0039308694	USD 4'181'000	CH100025SPETRANS-56	SIXSIS20001513	
CH0043088605	USD 10'000	CH100025SPETRANS-57	SIXSIS20001513	
CH0043088613	EUR 5'000	CH100025SPETRANS-58	SIXSIS20001513	
CH0043088621	CHF 180'000	CH100025SPETRANS-59	SIXSIS20001513	
CH0043088639	CHF 15'000	CH100025SPETRANS-60	SIXSIS20001513	
CH0043088647	EUR 35'000	CH100025SPETRANS-61	SIXSIS20001513	
CH0043088654	USD 21'000	CH100025SPETRANS-62	SIXSIS20001513	
DE000A0LJV62	Units 10	CA28482	SIXSIS20001597	

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
DE000A0MHV0	Units	170	CA28469
DE000A0MJHE1	Units	15	CA64741
DE000A0MJHE1	Units	242	CA28470
DE000A0NLYL5	Units	49	CA43764
DE000A0NMJ46	Units	103	CA29101
DE000A0NMJ46	Units	20	CA28472
DE000A0NPV47	Units	67	CA64738
DE000A0S5NN9	Units	20	CA43766
DE000A0TLL96	EUR	20'000	CA34878
DE000A0TQG23	EUR	100'000	CA64736
XS0128857413	EUR	2'264'000	CA64746
XS0162289663	EUR	50'000	CA64742
XS0163559841	EUR	75'000	CA64745
XS0169028700	Units	6	CA64743
XS0176153350	EUR	773'000	CA64749
XS0178969209	EUR	145'000	CA64748
XS0179304869	EUR	1'111'000	CA64747
XS0181945972	EUR	635'000	CA64750
XS0183944643	EUR	3'097'000	CA64751
XS0183944643	EUR	30'000	CA90715
XS0185655445	EUR	85'000	CA64753
XS0186243118	CHF	1'530'000	CA64755
XS0186883798	USD	206'000	CA64752
XS0187966949	USD	110'000	CA64760
XS0187967160	CHF	1'053'000	CA64758
XS0189294225	EUR	510'000	CA64756
XS0189741001	EUR	9'549'000	CA64731
XS0189741001	EUR	75'000	CA90533
XS0189741001	EUR	11'000	CA34066
XS0189741001	EUR	4'000	CA34065
XS0192355302	Units	570	CA64759
XS0192518370	USD	56'000	CA64754
XS0193035358	EUR	2'331'000	CA94912
XS0195431613	EUR	220'000	CA94920
XS0198737735	USD	400'000	CA94922
XS0199536029	EUR	20'000	CA94913
XS0200265709	CHF	1'625'000	CA94923
XS0200284247	EUR	888'000	CA94914
XS0202417050	EUR	75'000	CA94915
XS0203783526	USD	200'000	CA94918
XS0203783526	USD	150'000	CA90711
XS0204933997	USD	228'000	CA94921
XS0204933997	USD	125'000	CA43637
XS0204933997	USD	100'000	CA90535
XS0205185456	EUR	795'000	CA94917
XS0208459023	EUR	630'000	CA94916
XS0209026805	EUR	15'000	CA94919
XS0209198927	USD	705'000	CA67960
XS0210414750	GBP	4'910'000	CA67963
XS0210414750	GBP	350'000	CA01735
XS0210414750	GBP	1'510'000	CA90534

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
XS0210414750	GBP 100'000	CA90887	CBL73527
XS0210433206	EUR 647'000	CA67970	CBL13463
XS0210782552	EUR 407'000	CA67962	CBL13463
XS0211093041	EUR 440'000	CA67961	CBL13463
XS0211814123	EUR 1'060'000	CA67965	CBL13463
XS0212915523	EUR 80'000	CA67972	CBL13463
XS0213416141	EUR 305'000	CA67971	CBL13463
XS0213416141	EUR 300'000	CA90717	CBL73526
XS0213519464	EUR 252'000	CA67964	CBL13463
XS0213899510	EUR 1'947'000	CA67975	CBL13463
XS0213971210	EUR 155'000	CA67969	CBL13463
XS0214408840	EUR 195'000	CA67968	CBL13463
XS0215349357	EUR 2'948'000	CA67973	CBL13463
XS0216591692	USD 85'000	CA67967	CBL13463
XS0218304458	EUR 165'000	CA67980	CBL13463
XS0220152069	EUR 680'000	CA67979	CBL13463
XS0220704109	EUR 50'000	CA67974	CBL13463
XS0220791585	EUR 5'820'000	CA67977	CBL13463
XS0222698283	EUR 770'000	CA67984	CBL13463
XS0223590612	CHF 74'000	CA67982	CBL13463
XS0224346592	EUR 3'240'000	CA67981	CBL13463
XS0224346592	EUR 250'000	CA90531	CBL73520
XS0225326858	CHF 50'000	CA67983	CBL13463
XS0225841898	EUR 1'565'000	CA67978	CBL13463
XS0226380334	CHF 260'000	CA67989	CBL13463
XS0228149075	EUR 241'000	CA67985	CBL13463
XS0228154158	CHF 20'000	CA67986	CBL13463
XS0228314885	JPY 40'880'000	CA67990	CBL13463
XS0229269856	EUR 5'204'000	CA67995	CBL13463
XS0229269856	EUR 265'000	CA90728	CBL73526
XS0229269856	EUR 150'000	CA45670	CBL13463
XS0229584296	EUR 1'228'000	CA76353	CBL13463
XS0229584296	EUR 10'000	CA28471	SIXSIS20001597
XS0231181222	EUR 10'000	CA67987	CBL13463
XS0232035534	CHF 5'365'000	CA67992	CBL13463
XS0232035880	EUR 3'958'000	CA67994	CBL13463
XS0232035880	EUR 59'000	CA90713	CBL73526
XS0232037159	USD 2'993'000	CA67993	CBL13463
XS0232364868	EUR 1'532'000	CA67996	CBL13463
XS0234123650	CHF 240'000	CA68002	CBL13463
XS0234582178	USD 500'000	CA69190	CBL13463
XS0234632700	Units 50	CA69192	CBL13463
XS0234972981	Units 59472	CA69199	CBL13463
XS0236535679	EUR 60'000	CA69193	CBL13463
XS0237709208	EUR 100'000	CA69191	CBL13463
XS0238679079	Units 7584	CA69200	CBL13463
XS0238681307	Units 49'702	CA69189	CBL13463
XS0241762748	USD 2'000'000	CA69198	CBL13463
XS0242136413	CHF 100'000	CA69204	CBL13463
XS0246504210	EUR 800'000	CA69194	CBL13463
XS0247274599	Units 228	CA69201	CBL13463

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
XS0248142894	EUR 5'087'000	CA69207	CBL13463
XS0248620899	CHF 70'000	CA69203	CBL13463
XS0250113841	Units 231	CA69206	CBL13463
XS0251155205	USD 160'000	CA69208	CBL13463
XS0251658885	EUR 3'000'000	CA69195	CBL13463
XS0251909478	Units 15'697	CA69205	CBL13463
XS0251909635	Units 48'035	CA69196	CBL13463
XS0252834576	EUR 3'100'000	CA69197	CBL13463
XS0252834576	EUR 300'000	CA90712	CBL73526
XS0252834576	EUR 250'000	CA90890	CBL73527
XS0252835110	EUR 5'920'000	CA01705	CBL13463
XS0252835110	EUR 250'000	CA25638	CBL13463
XS0253852254	Units 22	CA69211	CBL13463
XS0254171191	EUR 5'450'000	CA68001	CBL13463
XS0254628661	EUR 55'000	CA69209	CBL13463
XS0255599598	EUR 2'830'000	CA69213	CBL13463
XS0256368308	USD 100'000	CA90716	CBL73526
XS0256934000	EUR 2'391'000	CA69210	CBL13463
XS0257101856	Units 79	CA69214	CBL13463
XS0258396927	CHF 105'000	CA69216	CBL13463
XS0258947745	Units 80	CA69220	CBL13463
XS0259811114	EUR 1'000'000	CA69217	CBL13463
XS0260445530	Units 170	CA69215	CBL13463
XS0261032238	Units 58'342	CA69212	CBL13463
XS0262353831	EUR 105'000	CA69218	CBL13463
XS0263715467	EUR 75'000	CA69219	CBL13463
XS0264674549	GBP 250'000	CA74438	CBL13463
XS0264966671	USD 58'000	CA74437	CBL13463
XS0265627751	Units 143'028	CA74439	CBL13463
XS0266486025	USD 25'000	CA74440	CBL13463
XS0267059755	EUR 66'000	CA74446	CBL13463
XS0267365442	Units 20	CA74442	CBL13463
XS0267460359	EUR 1'160'000	CA74443	CBL13463
XS0268043709	EUR 226'000	CA74453	CBL13463
XS0268648952	EUR 15'550'000	CA74447	CBL13463
XS0268648952	EUR 400'000	CA90719	CBL73526
XS0268992145	USD 5'000	CA74441	CBL13463
XS0269969027	EUR 30'000	CA74444	CBL13463
XS0270174013	USD 2'615'000	CA74455	CBL13463
XS0270987547	EUR 172'000	CA74454	CBL13463
XS0271141565	GBP 50'000	CA74445	CBL13463
XS0271201484	Units 274	CA74449	CBL13463
XS0271522442	JPY 110'000'000	CA90720	CBL73526
XS0271522442	JPY 225'000'000	CA90889	CBL73527
XS0272486753	EUR 46'000	CA74450	CBL13463
XS0272543900	EUR 500'000	CA74451	CBL13463
XS0273043629	EUR 78'000	CA74448	CBL13463
XS0274445120	CHF 20'000	CA74456	CBL13463
XS0275726007	USD 900'000	CA90722	CBL73526
XS0275726007	USD 300'000	CA90888	CBL73527
XS0275924578	USD 100'000	CA90725	CBL73526

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
XS0275924578	USD 1'250'000	CA90892	CBL73527
XS0275925112	USD 1'800'000	CA90724	CBL73526
XS0275925112	USD 250'000	CA90891	CBL73527
XS0276149738	USD 800'000	CA90893	CBL73527
XS0276272936	EUR 4'000'000	CA74459	CBL13463
XS0276439493	EUR 500'000	CA74452	CBL13463
XS0276441044	EUR 6'000	CA74458	CBL13463
XS0276598538	USD 750'000	CA90718	CBL73526
XS0276598538	USD 650'000	CA90894	CBL73527
XS0276599346	USD 350'000	CA74462	CBL13463
XS0276599346	USD 1'600'000	CA90727	CBL73526
XS0276600292	USD 1'300'000	CA90898	CBL73527
XS0276600292	USD 2'350'000	CA90723	CBL73526
XS0276619805	USD 1'150'000	CA90897	CBL73527
XS0276619805	EUR 209'000	CA74460	CBL13463
XS0277185152	EUR 7'000'000	CA74461	CBL13463
XS0279424310	Units 84'755	CA74457	CBL13463
XS0280903740	JPY 120'000'000	CA90721	CBL73526
XS0281520352	EUR 30'000	CA74464	CBL13463
XS0282579290	JPY 100'000'000	CA90726	CBL73526
XS0282764199	USD 1'000'000	CA90634	CBL73526
XS0282937985	EUR 900'000	CA74465	CBL13463
XS0282978666	Units 2'585'000	CA74515	CBL13463
XS0282978666	Units 110'000	CA90631	CBL73526
XS0283497005	EUR 25'000	CA74463	CBL13463
XS0285759121	EUR 300'000	CA90635	CBL73526
XS0285759121	EUR 800'000	CA90895	CBL73527
XS0285922133	EUR 33'000	CA74516	CBL13463
XS0286242390	USD 600'000	CA90636	CBL73526
XS0286242390	USD 300'000	CA90896	CBL73527
XS0286900708	CHF 50'000	CA15684	CBL13463
XS0287044969	EUR 14'600'000	CA76352	CBL13463
XS0287563646	Units 1'152'000	CA74517	CBL13463
XS0287567043	USD 2'000'000	CA74513	CBL13463
XS0288356123	EUR 2'270'000	CA74683	CBL13463
XS0288569972	USD 400'000	CA90668	CBL73526
XS0288579260	EUR 5'500'000	CA74689	CBL13463
XS0288704264	USD 100'000	CA90633	CBL73526
XS0288760761	EUR 800'000	CA90632	CBL73526
XS0288760761	EUR 200'000	CA90901	CBL73527
XS0289717968	EUR 720'000	CA74685	CBL13463
XS0290294742	Units 150	CA74682	CBL13463
XS0290694925	USD 1'700'000	CA90670	CBL73526
XS0290694925	USD 100'000	CA90899	CBL73527
XS0290837383	CHF 100'000	CA74684	CBL13463
XS0291251808	JPY 60'000'000	CA90666	CBL73526
XS0291251808	JPY 50'000'000	CA90910	CBL73527
XS0292337309	USD 1'200'000	CA90637	CBL73526
XS0292337309	USD 200'000	CA90900	CBL73527
XS0293572425	EUR 20'000	CA74691	CBL13463
XS0293964002	USD 5'000'000	CA74690	CBL13463

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
XS0294585889	CHF 30'000	CA74699	CBL13463
XS0294695043	USD 550'000	CA74688	CBL13463
XS0294745673	EUR 880'000	CA74686	CBL13463
XS0295664535	Units 47	CA74687	CBL13463
XS0295698947	EUR 3'543'000	CA74692	CBL13463
XS0298614552	EUR 20'000	CA74700	CBL13463
XS0299086644	USD 400'000	CA90902	CBL73527
XS0299141332	GBP 300'000	CA74697	CBL13463
XS0299386101	CHF 6'480'000	CA74695	CBL13463
XS0299411222	EUR 200'000	CA90638	CBL73526
XS0299411222	EUR 800'000	CA90906	CBL73527
XS0299624568	SEK 2'120'000	CA74696	CBL13463
XS0299641224	SEK 180'000	CA74694	CBL13463
XS0299929595	USD 100'000	CA90532	CBL73520
XS0300055547	EUR 500'000	CA74704	CBL13463
XS0300339578	EUR 900'000	CA90676	CBL73526
XS0300339578	EUR 100'000	CA90904	CBL73527
XS0300658597	CHF 673'000	CA74706	CBL13463
XS0301473327	SEK 75'000	CA74703	CBL13463
XS0301522396	EUR 245'000	CA74707	CBL13463
XS0301522719	EUR 80'000	CA74701	CBL13463
XS0301813522	USD 8'644'000	CA74709	CBL13463
XS0301813522	USD 600'000	CA90538	CBL73520
XS0301813522	USD 12'836'000	CA90671	CBL73526
XS0301813522	USD 4'883'000	CA90905	CBL73527
XS0301886247	USD 850'000	CA34882	CBL73526
XS0301886247	USD 100'000	CA34887	CBL73527
XS0301958178	USD 1'100'000	CA90677	CBL73526
XS0301958178	USD 100'000	CA90903	CBL73527
XS0302043012	EUR 100'000	CA90678	CBL73526
XS0302280499	USD 1'700'000	CA90674	CBL73526
XS0302280499	USD 1'500'000	CA90911	CBL73527
XS0302285290	USD 600'000	CA90672	CBL73526
XS0302285290	USD 900'000	CA90908	CBL73527
XS0302350888	EUR 50'000	CA74705	CBL13463
XS0302351266	CHF 125'000	CA74708	CBL13463
XS0302669196	EUR 300'000	CA90669	CBL73526
XS0302669196	EUR 200'000	CA90912	CBL73527
XS0303465214	EUR 850'000	CA74702	CBL13463
XS0303475957	EUR 2'000'000	CA74710	CBL13463
XS0303476849	EUR 2'000'000	CA74715	CBL13463
XS0303746571	EUR 25'000	CA74712	CBL13463
XS0306179168	Units 17'639	CA74713	CBL13463
XS0307264050	USD 1'450'000	CA90675	CBL73526
XS0307264050	USD 200'000	CA90913	CBL73527
XS0307355445	CZK 15'000'000	CA74711	CBL13463
XS0307745744	EUR 350'000	CA74714	CBL13463
XS0307992676	EUR 58'000	CA74717	CBL13463
XS0307992676	EUR 100'000	CA01704	CBL13463
XS0308389807	USD 30'000	CA74716	CBL13463
XS0308937514	JPY 400'000'000	CA90681	CBL73526

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
XS0309334356	EUR 2'000'000	CA74762	CBL13463
XS0309485729	EUR 1'440'000	CA74767	CBL13463
XS0309835139	Units 10'013	CA74763	CBL13463
XS0309871019	EUR 3'120'000	CA74760	CBL13463
XS0310069538	Units 46'810	CA74759	CBL13463
XS0310175566	USD 2'100'000	CA74768	CBL13463
XS0310486641	USD 1'500'000	CA90673	CBL73526
XS0310487292	JPY 20'000'000	CA74769	CBL13463
XS0310487292	JPY 590'000'000	CA90682	CBL73526
XS0310487292	JPY 110'000'000	CA90909	CBL73527
XS0310793905	USD 700'000	CA90679	CBL73526
XS0310793905	USD 1'000'000	CA90907	CBL73527
XS0311179252	USD 200'000	CA90680	CBL73526
XS0311179252	USD 3'000'000	CA90916	CBL73527
XS0311223688	EUR 1'000'000	CA74770	CBL13463
XS0312194854	USD 1'150'000	CA90684	CBL73526
XS0312194854	USD 700'000	CA90914	CBL73527
XS0313528944	EUR 1'000'000	CA74761	CBL13463
XS0313532623	EUR 1'000'000	CA74764	CBL13463
XS0313893215	EUR 86'000	CA74765	CBL13463
XS0314157644	USD 200'000	CA90685	CBL73526
XS0314774257	Units 9'984'000	CA74773	CBL13463
XS0314871293	GBP 100'000	CA74775	CBL13463
XS0314918276	Units 10	CA74772	CBL13463
XS0315549690	JPY 385'000'000	CA90686	CBL73526
XS0317359718	EUR 940'000	CA74766	CBL13463
XS0317744380	USD 1'350'000	CA90683	CBL73526
XS0317744380	USD 1'500'000	CA90917	CBL73527
XS0317961729	EUR 1'000	CA74771	CBL13463
XS0318056354	CHF 49'000	CA74780	CBL13463
XS0319817788	USD 1'350'000	CA90687	CBL73526
XS0319862818	CHF 170'000	CA74783	CBL13463
XS0320033466	USD 1'100'000	CA90690	CBL73526
XS0320322901	CHF 177'98'000	CA74782	CBL13463
XS0320521429	USD 1'000'000	CA90691	CBL73526
XS0321019258	EUR 10'620'000	CA74776	CBL13463
XS0321395583	EUR 7'000'000	CA74781	CBL13463
XS0321795170	CHF 200'000	CA90915	CBL73527
XS0322031591	USD 1'500'000	CA90689	CBL73526
XS0322152462	USD 3'000'000	CA74778	CBL13463
XS0322153270	CHF 20'000	CA74785	CBL13463
XS0322265157	USD 3'000'000	CA90536	CBL73520
XS0322468850	USD 100'000	CA90688	CBL73526
XS0322468850	USD 1'100'000	CA91606	CBL73527
XS0322748202	CHF 10'000	CA74786	CBL13463
XS0323005610	CHF 2'260'000	CA74777	CBL13463
XS0323081801	USD 500'000	CA90699	CBL73526
XS0323081801	USD 100'000	CA91611	CBL73527
XS0323412337	EUR 500'000	CA74790	CBL13463
XS0323535418	Units 8	CA74787	CBL13463
XS0323978162	EUR 3'000'000	CA74791	CBL13463

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
XS0324192243	EUR 200'000	CA74779	CBL13463
XS0324446524	CHF 25'000'000	CA74788	CBL13463
XS0324890440	CHF 52'547'000	CA74789	CBL13463
XS0324890440	CHF 120'000	CA43638	CBL13463
XS0324929164	EUR 700'000	CA74793	CBL13463
XS0325152154	EUR 103'000	CA74792	CBL13463
XS0325244514	CHF 50'000	CA74794	CBL13463
XS0325369725	USD 200'000	CA74858	CBL13463
XS0325550472	CHF 375'000	CA74853	CBL13463
XS0325550555	EUR 80'000	CA74856	CBL13463
XS0325813409	USD 130'000	CA74852	CBL13463
XS0326006540	EUR 3'750'000	CA74854	CBL13463
XS0326006540	EUR 100'000	CA01734	CBL13463
XS0326006540	EUR 100'000	CA90693	CBL73526
XS0326086716	CHF 100'000	CA74860	CBL13463
XS0326427480	CHF 25'301'000	CA74857	CBL13463
XS0326476693	GBP 110'000	CA74859	CBL13463
XS0326538120	SGD 300'000	CA91609	CBL73527
XS0326542072	EUR 2'333'000	CA74855	CBL13463
XS0326730313	USD 142'000	CA74861	CBL13463
XS0326819728	EUR 1'633'000	CA74862	CBL13463
XS0326826343	EUR 30'000	CA74867	CBL13463
XS0327277272	HKD 1'000'000	CA90692	CBL73526
XS0327277272	HKD 8'000'000	CA91614	CBL73527
XS0327731096	EUR 650'000	CA74865	CBL13463
XS0327848015	USD 100'000	CA90695	CBL73526
XS0328064810	USD 235'000	CA74863	CBL13463
XS0328873681	CHF 140'000	CA74868	CBL13463
XS0329243876	EUR 65'000	CA74864	CBL13463
XS0329288384	USD 68'000	CA74866	CBL13463
XS0329522758	CHF 35'000	CA74869	CBL13463
XS0329633829	CHF 55'000	CA74870	CBL13463
XS0330222984	Units 50	CA74871	CBL13463
XS0330834598	EUR 2'000'000	CA74872	CBL13463
XS0331427061	EUR 4'000'000	CA74873	CBL13463
XS0332137271	USD 200'000	CA90694	CBL73526
XS0332199115	USD 40'000	CA74875	CBL13463
XS0332756849	EUR 3'190'000	CA74879	CBL13463
XS0332848372	USD 200'000	CA74877	CBL13463
XS0333830700	USD 100'000	CA91612	CBL73527
XS0334419321	GBP 4'452'000	CA90537	CBL73520
XS0335243159	EUR 700'000	CA74874	CBL13463
XS0335576475	EUR 10'000	CA74876	CBL13463
XS0335964648	EUR 30'000	CA74881	CBL13463
XS0336019996	EUR 165'000	CA74878	CBL13463
XS0336050215	EUR 1'675'000	CA74880	CBL13463
XS0336320022	USD 2'850'000	CA74884	CBL13463
XS0336373575	USD 1'000'000	CA74883	CBL13463
XS0336410013	USD 300'000	CA91610	CBL73527
XS0336416051	USD 200'000	CA91613	CBL73527
XS0336617625	USD 1'650'000	CA74882	CBL13463

ISIN NUMBER	FACE AMOUNT/UNITS	BLOCKING NUMBER	ACCOUNT NUMBER
XS0336850762	USD 1'050'000	CA76354	CBL13463
XS0336927149	ISK 25'000'000	CA75566	CBL13463
XS0337337710	CHF 168'000	CA75568	CBL13463
XS0337637515	CHF 120'000	CA75576	CBL13463
XS0337685670	USD 100'000	CA75567	CBL13463
XS0337685670	USD 25'000	CA43636	CBL13463
XS0338071987	EUR 320'000	CA75577	CBL13463
XS0338316093	CHF 5'000'000	CA75571	CBL13463
XS0338495087	EUR 2'523'000	CA75579	CBL13463
XS0338684565	USD 500'000	CA75572	CBL13463
XS0338802191	USD 10'000'000	CA91627	CBL73527
XS0339479841	USD 400'000	CA91619	CBL73527
XS0339479924	USD 1'000'000	CA91616	CBL73527
XS0339537804	USD 185'000	CA75573	CBL13463
XS0340050284	USD 100'000	CA90701	CBL73526
XS0340648145	USD 2'150'000	CA90698	CBL73526
XS0340696466	EUR 510'000	CA75578	CBL13463
XS0340756898	USD 225'000	CA75574	CBL13463
XS0341522687	USD 50'000	CA75581	CBL13463
XS0341731411	USD 5'000'000	CA90700	CBL73526
XS0341731767	USD 5'000'000	CA90696	CBL73526
XS0341923083	USD 4'000'000	CA75575	CBL13463
XS0342097747	USD 100'000	CA75580	CBL13463
XS0342194601	EUR 1'170'000	CA75582	CBL13463
XS0342399325	USD 1'150'000	CA90697	CBL73526
XS0342399325	USD 250'000	CA91615	CBL73527
XS0343530340	EUR 500'000	CA75584	CBL13463
XS0343643036	USD 550'000	CA90703	CBL73526
XS0344087183	USD 500'000	CA75588	CBL13463
XS0344960058	USD 2'650'000	CA75590	CBL13463
XS0344962260	USD 2'000'000	CA75585	CBL13463
XS0345205693	EUR 900'000	CA75587	CBL13463
XS0345213283	USD 1'500'000	CA91618	CBL73527
XS0345288459	USD 30'000	CA75586	CBL13463
XS0346007320	EUR 1'545'000	CA75591	CBL13463
XS0346073975	USD 400'000	CA90708	CBL73526
XS0346073975	USD 1'000'000	CA91628	CBL73527
XS0346080590	EUR 21'000	CA75593	CBL13463
XS0346122343	USD 490'000	CA75589	CBL13463
XS0346123150	USD 10'000'000	CA91620	CBL73527
XS0346508707	USD 300'000	CA91622	CBL73527
XS0346776460	USD 20'000'000	CA75594	CBL13463
XS0346785537	EUR 2'000'000	CA75596	CBL13463
XS0346821357	USD 100'000	CA75595	CBL13463
XS0347064924	EUR 360'000	CA75592	CBL13463
XS0347383274	USD 1'000'000	CA90705	CBL73526
XS0347452855	USD 100'000	CA75598	CBL13463
XS0347732892	USD 180'000	CA75600	CBL13463
XS0347790403	USD 510'000	CA75601	CBL13463
XS0347938671	EUR 500'000	CA75597	CBL13463
XS0348395814	SGD 1'750'000	CA90702	CBL73526

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER		
XS0348395814	SGD	1'000'000	CA91621	CBL73527	
XS0349166917	Units	1'830	CA75599	CBL13463	
XS0349506369	EUR	1'956'000	CA75642	CBL13463	
XS0349757442	USD	100'000	CA91636	CBL73527	
XS0349842558	EUR	1'000'000	CA75646	CBL13463	
XS0350312608	EUR	50'000	CA75643	CBL13463	
XS0350635875	USD	5'000'000	CA90704	CBL73526	
XS0351629687	USD	150'000	CA90706	CBL73526	
XS0351979587	CHF	33'000	CA75644	CBL13463	
XS0352061245	USD	100'000	CA34888	CBL73527	
XS0352117641	USD	500'000	CA75645	CBL13463	
XS0353348666	USD	10'300'000	CA90707	CBL73526	
XS0353557233	USD	8'640'000	CA75649	CBL13463	
XS0353676082	CHF	220'000	CA75648	CBL13463	
XS0354064841	USD	10'000'000	CA91630	CBL73527	
XS0354065061	USD	10'000'000	CA91631	CBL73527	
XS0354298241	USD	10'000'000	CA91629	CBL73527	
XS0355133454	EUR	9'000	CA75651	CBL13463	
XS0356499052	USD	1'271'000	CA75647	CBL13463	
XS0357385177	USD	10'000'000	CA91633	CBL73527	
XS0357658672	EUR	1'540'000	CA75650	CBL13463	
XS0358125473	USD	10'000'000	CA91632	CBL73527	
XS0358176468	EUR	1'130'000	CA75652	CBL13463	
XS0358302601	CZK	44'500'000	CA75653	CBL13463	
XS0358410164	USD	1'000'000	CA75657	CBL13463	
XS0358787694	USD	10'000'000	CA91643	CBL73527	
XS0359722856	USD	10'000'000	CA91640	CBL73527	
XS0360700560	USD	10'000'000	CA91635	CBL73527	
XS0361379166	EUR	3'000'000	CA75655	CBL13463	
XS0361723637	USD	10'000'000	CA91638	CBL73527	
XS0361965212	USD	10'000'000	CA91634	CBL73527	
XS0362447558	EUR	50'000	CA75654	CBL13463	
XS0362447806	USD	10'000'000	CA91639	CBL73527	
XS0362829599	USD	10'000'000	CA91641	CBL73527	
XS0362891631	EUR	45'000	CA75658	CBL13463	
XS0363204941	Units	1'200'000	CA75659	CBL13463	
XS0363471631	USD	100'000	CA91642	CBL73527	
XS0363488361	USD	10'000'000	CA91644	CBL73527	
XS0364418102	USD	4'350'000	CA75656	CBL13463	
XS0364962661	USD	10'000'000	CA91646	CBL73527	
XS0366059953	USD	3'092'000	CA75661	CBL13463	
XS0366074242	USD	500'000	CA75660	CBL13463	
XS0366383387	USD	230'000	CA75669	CBL13463	
XS0366802964	USD	560'000	CA75665	CBL13463	
XS0368205869	USD	10'000'000	CA91645	CBL73527	
XS0368298187	EUR	25'000	CA75668	CBL13463	
XS0368533369	USD	10'000'000	CA91649	CBL73527	
XS0377054381	USD	2'000'000	CA75670	CBL13463	
XS0275725611	USD	300'000	CA77824	CBL73527	Additional
XS0321317603	EUR	700'000	CA77823	CBL73526	Additional
XS0326006540	EUR	100'000	CA77948	CBL13463	Additional

ISIN NUMBER	FACE AMOUNT <sup>1</sup> /UNITS	BLOCKING NUMBER	ACCOUNT NUMBER	
XS0300055547	EUR	800'000	CA77947	CBL13463 Additional
XS0189741001	EUR	100'000	CA77825	CBL13463 Additional
XS0183944643	EUR	30'000	CA77946	CBL13463 Additional

Amount of Claim: To be determined, including principal, accrued interest, any enhanced returns on principal, and expenses, to the extent permitted by the governing documents and applicable law.



**BY DHL EXPRESS MAIL**

Epiq Bankruptcy Solutions, LLC,  
Attn. Lehman Brothers Holdings  
Claim Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10117, USA

**UBS AG**  
Badenerstrasse 547 / D  
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Corporate Events  
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jean-claude.besson@ubs.com

[www.ubs.com](http://www.ubs.com)

## Message

October 29, 2009

**subject Amended Proof of Claim Filing on various Bonds Lehman Program Securities**

On behalf of

you are receiving      Amended Proof of Claim Form and Schedule as of 29.10.2009 on behalf of various  
Bondholders LEHMAN PROGRAM SECURITIES

for your information  
 for your records  
 as agreed  
 please complete

returned with thanks  
 please comment  
 please sign  
 please forward to

please return  
 please confirm receipt  
 please process

Remarks

Please receive 1 Package by DHL Express 439 1052 993 containing

1 amended Proof of Claim Form and Schedule on Lehman Program Securities to replace the  
initial Proof of Claim Form dated 27.10.2009

Yours sincerely,

UBS AG

A handwritten signature in black ink, appearing to read 'Jean-Claude Besson'.

Jean-Claude Besson  
Associate Director

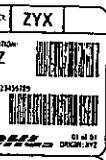
A handwritten signature in black ink, appearing to read 'Hugo Koller'.

Hugo Koller  
Director

EASY-OPEN-STRIP

The logo for DHL Express, featuring the word "DHL" in a bold, italicized, blocky font, with "EXPRESS" written vertically below it in a smaller, italicized font. The "D" and "H" are connected by a horizontal line.

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United States

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## CONSUMER INFORMATION

AMERICAN BANKRUPTCY INSTITUTE  
1000 19th Street, N.W.  
Washington, D.C. 20006  
202-467-3870  
FAX: 202-467-3871  
E-mail: [abn@abn.org](mailto:abn@abn.org)

441 43-258 NC 302  
TENNESSEE  
HERE, HOMESTEAD  
SCHOOL  
ONE 13TH JUNIOR  
Y. HIGH

1. Non-Disclosure/Non-Assignment of Contract Information	
Indicate the original and copy or a portion of a Contract or Commodity Agreement.	
<input checked="" type="checkbox"/> Supplier's VAT/ST number <input type="checkbox"/> Receiver's VAT/ST number	
<input checked="" type="checkbox"/> General <input type="checkbox"/> Restricted <input type="checkbox"/> Confidential <input type="checkbox"/> Proprietary <input type="checkbox"/> Confidential/Proprietary <input type="checkbox"/> Restricted/Proprietary <input type="checkbox"/> Restricted/Confidential <input type="checkbox"/> Restricted/Confidential/Proprietary	
<input checked="" type="checkbox"/> Export <input type="checkbox"/> Import <input type="checkbox"/> Re-export <input type="checkbox"/> Re-import <input type="checkbox"/> Re-export/Import <input type="checkbox"/> Re-import/Export <input type="checkbox"/> Re-export/Import/Export <input type="checkbox"/> Re-import/Export/Import <input type="checkbox"/> Re-export/Import/Re-export <input type="checkbox"/> Re-import/Export/Re-import	
<input checked="" type="checkbox"/> Standard <input type="checkbox"/> Special <input type="checkbox"/> Other  <small>Indicate whether the contract or agreement is standard or special. If special, describe the nature of the contract or agreement.</small>	

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WAYB

LL4891

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A standard linear barcode is positioned horizontally across the page, consisting of vertical black lines of varying widths on a white background.

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[REDACTED]

1960-1961